Resonance Raman De-Enhancement Caused by Excited State Mixed Valence

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Resonance Raman and absorption spectra of 9,10-bis(2-tert-butyl-2,3-diazabicyclo[2.2.2]oct-3-yl)-anthracene (2) are measured and analyzed. The contribution of the individual vibrational normal modes to the reorganization energy is investigated. Excited-state mixed valence in this system is analyzed using density functional theory electronic structure calculations. The resonance Raman excitation profiles exhibit a resonance de-enhancement effect around 20 725 cm$^{-1}$, but a corresponding feature is not observed in the absorption spectrum. This unusual observation is attributed to the presence of a dipole-forbidden, vibronically allowed component of the split mixed valence excited state. The de-enhancement dip is calculated quantitatively and explained in terms of the real and imaginary components of the polarizabilities of the two overlapping excited states.

Introduction

Photoinduced electron-transfer is a broad subject that has been recently reviewed.1-3 Absorption of a photon by a donor-acceptor (D−A) system can produce an excited state that has an amount of charge-transfer varying from very little to almost complete, and thermal electron transfer may also follow the spectroscopic techniques that are used to study electron transfer upon photoexcitation in the initial step to yield a charge-separated product state indirectly. Several photoexcitations that produce little charge transfer in the initial complete, and thermal electron transfer may also follow a amount of charge-transfer varying from very little to almost complete, and thermal electron transfer may also follow.

1, which was previously studied by rR.4

1 and 2 have 2-tert-butyl-3-azabicyclo[2.2.2]oct-3-yl (Hy) D groups attached respectively at the 9- and 9,10- positions of anthracene, which acts as A. Charge separation upon photoexcitation in the neutral forms of 1 and hydrazine-substituted naphthalenes 3, 4, and four related bishydrazine compounds has been studied previously using electrooptical absorption and fluorescence spectroscopy.15 The Hy group has been extensively used as a conveniently stable high reorganization energy charge-bearing unit that allows ESR determination of intramolecular electron-transfer rate constants between the Hy units for Hy$_2$Ar$^+$ intervalence radical cations that have a large enough electronic coupling to allow accurate determination of the electron-transfer parameters using Hush theory.16,17 Examples with five,18-20 seven,21 and nine20,22 bonds between the charge-bearing units have been found to have electron-transfer rate constants that are accurately predicted using Hush theory.23 In contrast, the radical cation 2$^+$ showed much faster electron-transfer than predicted, and two bridge-to-Hy$^+$ transitions for it lie below or near the Hy-to-Hy$^+$ intervalence transition, which causes the two-state model that suffices for several other systems to break down.13 This work reports unusual effects on photoelectron transfer for the neutral oxidation level of 2 as well.

Compound 2 is an excited-state mixed valence (ESMV) system. ESMV occurs for molecules which have symmetrical ground states but two or more equivalent donor (D) or acceptor (A) sites attached to a bridge (B), for which charge-transfer occurs upon photoexcitation. 2 is a neutral D−B−D example, which has equal energy diabatic excited states that may be usefully described as D$^+$$^\rightarrow$B$^0$$^\rightarrow$D and D$^0$$^\rightarrow$B$^+$$^\rightarrow$D$^+$. Other ESMV systems that we have studied include the naphthalene-bridged analogues of 2,15 and several open-shell examples, including a durene-bridged diradical dication,24 delocalized intervalence compounds including a variety of dinitroaromatic radical anions,25 9-bond-bridged diamine radical cations,26 diarylhydrazine radical cations,27-29 and a ruthenium-bridged dipyridine dication.30 The neighboring orbital theory used for a more quantitative analysis of ESMV systems (see below) has also been presented.31 The lowest-energy absorption band for an ESMV compound in principle consists of two components, but
their relative absorbivities are determined by the angle between the charge-bearing unit to bridge transition dipole moments. Because 2 has a 180° angle between these dipole moments, only one of the components is allowed and observed.

In this paper, we report the absorption spectra and the rR excitation profiles taken in resonance with the lowest energy absorption band of 2. The highly distorted vibration modes are identified and assigned by using density functional theory (DFT) calculations. The excited-state distortions, Δ, are calculated using time-dependent theory. The contributions of individual modes to the reorganization energy are investigated and compared with those of 1. An unusual resonant de-enhancement effect was found in the rR excitation profiles that is caused by the overlap of the two components of the mixed valence excited state. The two components of the ESMV overlap and produce a single broad featureless band in the absorption spectrum, but are revealed in the excitation profiles. The excitation profiles, including the de-enhancement dip, are calculated quantitatively and the origin of the dip is explained. The energy separation and thus the coupling cannot be determined from the absorption spectrum but are revealed by the excitation profiles.

Experimental Section

The preparation of 2 has been previously reported.

Electronic Spectroscopy. Absorption spectra of 2 were obtained at room temperature in toluene solution in a Halogrease mull using a Cary 5000 UV–vis–NIR spectrophotometer.

Raman Spectroscopy. Raman spectra of 2 were obtained using a 1401 Spex double monochromator equipped with a Burle C31034 photomultiplier tube, a Stanford Research System SR-400 photon counter and processed by a PC computer, or by using a triple monochromator and detected by a CCD. Excitation was provided by an argon laser (for wavelengths of 514.5, 488.0, 476.5, 472.7, 465.8, and 457.9 nm) and a krypton laser (for wavelengths of 482.5, 530.9, 568.2, 647.1, and 676.4 nm). Interference filters (488.0 and 457.9 bandpass) were used with 488.0 and 457.9 nm excitation, respectively, to eliminate plasma lines. Additional excitation wavelengths of 586.1, 604.6, 476.5, 472.7, 465.8, and 457.9 nm were obtained using a Rhodamine 6G dye laser.

Spectra were collected from spinning pellets made from a finely ground mixture of 2 and the reference compound NaNbO₃ at a ratio of 1:0.03. The Raman intensities were obtained by numerically integrating the peaks.

Theoretical and Numerical Methods

The time-dependent theory is used because it is easy to visualize qualitatively and to calculate quantitatively the spectroscopic consequences of coupling states. In the time dependent theory, the Raman intensity into a particular mode f is

\[ I_{\text{R}} \sim \omega_1 \omega_2 |\alpha_1|^2 \]

where \( \hbar \omega_1 \) is the energy of the incident radiation, \( \hbar \omega_2 \) is the energy of the scattered radiation, and \( \alpha_1 \) is the resonance Raman scattering polarizability, which can be given by

\[ \alpha_1 = \frac{i}{\hbar} \int_0^{\infty} \langle \phi | \alpha \phi | r \rangle \exp \left( i \omega_1 t + i \omega_2 t - \Gamma t \right) \, dt \]

In eq 2, \( \langle \phi | \alpha \phi | r \rangle \) is the final vibrational state of the ground electronic surface, \( \chi_0 \), multiplied by the transition electric dipole moment \( \mu \), \( \phi(t) = \exp(-iHt/\hbar)\phi \) is a moving wave packet where \( |\phi\rangle \), the wave function of initial state, is multiplied by

![Figure 1. Absorption spectrum of 2 in a Halogrease mull (solid line) and in toluene (at 1.6 × 10⁻⁴ M) (dotted line) at room temperature.](image)

the electronic transition dipole moment and propagated on the excited-state by the excited-state Hamiltonian, \( \hbar \omega_1 \) is the vibrational zero-point energy of the ground electronic state surface, and \( \Gamma \) is the damping factor. For simplicity, in this paper the transition moments \( \mu \) are chosen to be coordinate independent, i.e., constants.

The propagating wavefunction \( \phi(t) \) is calculated by the split operator method developed by Feit and Fleck.

The Hamiltonian is

\[ \hat{H} = -\frac{1}{2} M \hat{V}^2 + V(Q) \]

where \( V(Q) \) is the potential energy as a function of normal mode coordinate Q and \( -1/2M\hat{V}^2 \) is the nuclear kinetic energy. In Feit and Fleck’s method, both the coordinate Q and the time t are represented by grid points which have the intervals \( \Delta Q \) and \( \Delta t \), respectively. The time-dependent wavefunction \( \phi(Q,t+\Delta t) \) can be obtained from \( \phi(Q,t) \) using the equation

\[ \phi(Q,t+\Delta t) = \exp \left( \frac{i\Delta t}{4M} \hat{V}^2 \right) \exp(iVQ) \exp \left( \frac{i\Delta t}{4M} \right)^2 \phi(Q,t) + O((\Delta t)^2) \]

In the remainder of this paper, the angular frequency \( \omega \) (cm⁻¹) is used to represent the vibrational wavenumber. It is related to the angular frequency \( \omega \) (s⁻¹) by \( \omega \) (cm⁻¹) = \( \omega (\text{s}^{-1}) / 2\pi c \).

Results

Absorption Spectroscopy. The absorption spectra of 2 in toluene solution and as a solid in a Halogrease mull are shown in Figure 1. The solution spectrum has a charge-transfer maximum at 19 760 cm⁻¹ and anthracene-centered \( \pi \cdot \pi^* \) absorption bands at 25 250, 26 670, and 27 890 cm⁻¹ (labeled B, C, and D, respectively). In the solid-state spectrum these bands shift to lower energy. The transition energies are summarized in Table 1.

Resonance Raman Spectroscopy. Raman spectra obtained at two excitation wavelengths, 514.5 nm (19 435 cm⁻¹), the wavenumber in air, near the maximum of the charge-transfer band) and 472.7 nm (21 155 cm⁻¹), the wavenumber in air, near the minimum between the bands) are shown in Figure 2. The intensities of the Raman peaks of 2 relative to those of the standard (1067 and 725 cm⁻¹) decrease when the excitation wavelength is changed from 514.5 to 472.7 nm. Table 2 lists all of the peaks with intensities of at least 10% of that of the most intense sample peak (1398 cm⁻¹) observed in the resonance Raman spectrum taken at the excitation profile.
The intensity ratio for each mode in the 530.9 nm excitation Raman spectrum to that of the standard NaNO₃ peak at 1067 cm⁻¹ is also listed in Table 2.

Plots of the integrated Raman intensities as a function of the wavenumber are shown in Figure 3, in which the eight modes with the largest distortions are plotted. The resonance Raman excitation profiles were obtained using 14 laser wavelengths (676.4, 647.1, 611.4, 604.6, 586.1, 568.2, 530.9, 514.5, 488.0, 482.5, 476.5, 472.7, 465.8, and 457.9 nm).

**Discussion**

1. **Resonance Raman Spectra.** The vibrational modes that appear in the resonance Raman spectra were assigned using a B3LYP/6-31G* calculation carried out with Gaussian 03. The experimental and calculated frequencies are listed in Table 2, which also includes a brief description of the type of motion.

The individual modes observed for both 1 and 2 and the contribution of each to the vibrational reorganization energy for the transition, $\lambda_i(q)$, were calculated using eqs 5 and 6 and appear in Figure 4 and Table 3.

$$\frac{I_k}{I_k'} = \frac{\Delta \omega_k^2}{\Delta \omega_k'^2}$$

$$\lambda_i(q) = (1/2)\Delta^2 \omega(q)$$

The total $\lambda_i$ values are 5091 cm⁻¹ for 1 and 2007 cm⁻¹ for 2. Thus, although the total amount of charge transferred to the anthracene ring is presumably greater for 2 than for 1, the transition is less endoenergetic (by about 2000 ± 100 cm⁻¹ in solution and 2750 cm⁻¹ in a KBr pellet, see Table 1), the reorganization energy for photoexcitation of 2 is only 39% as large as for 1. The principal contributions to $\lambda_i$ arise from distortions in the hydrazine groups. Because there are two hydrazines to spread the distortion over in 2, smaller distortions occur in each and lower $\lambda$ because $\lambda$ increases as the square of the distortion.
from hydrazine to anthracene charge transfer. The coordinate relative to the ground state represent the states resulting that are displaced in opposite directions on the configurational

TABLE 3: Comparison of Resonance Raman Modes and Reorganization Energies for Mono- and Dihydrazine-Substituted Anthracenes (1 and 2)

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<th>2 (Hy2AN)</th>
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<td>(cm⁻¹)</td>
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a Data used from ref 14.

Figure 5. Excited-state mixed valence model. The two middle surfaces that are displaced in opposite directions on the configurational coordinate relative to the ground state represent the states resulting from hydrazine to anthracene charge transfer.

2. Excited-State Mixed Valence (ESMV). ESMV can be considered using the diabatic surfaces shown in Figure 5. The lowest-energy potential surface represents the ground electronic state. Absorption of a photon transfers charge from one of the hydrazine units to an empty antibonding orbital localized primarily on the anthracene ring. The two upper diabatic potential energy surfaces represent excited states where the change has been transferred from the hydrazine on the left or the hydrazine on the right. These two surfaces along the asymmetric coordinate represent the two valence trapped mixed-valence states having equal energies vertically above the ground state minimum but distorted in opposite directions.

A prior spectroscopic study of 1, which contains only one Hy charge-bearing unit, showed that the lowest energy electronic transition is from the hydrazine-centered HOMO (a \( \pi^* \)) to the anthracene ring LUMO. Electrooptical absorption measurements showed that the change in dipole moment vector is directed nearly parallel to the transition dipole moment vector, and that the electron-transfer distance on the diabatic surfaces is a rather modest \( 1.27 \pm 0.06 \) Å, smaller than the \( 1.43 \pm 0.08 \) Å obtained for the slightly less twisted 1-substituted naphthalene \( 3 \) and the \( 2.06 \pm 0.04 \) Å for the 2-substituted naphthalene \( 4 \). The ring carbon p orbital and nitrogen lone pair twist angles \( \phi_{CN} \) were found to be \( 50^\circ \) and \( 37^\circ \), respectively, by X-ray crystallography, in the same order as the electron-transfer distances. Increasing the twist lowers the electronic coupling, which is expected to be proportional to \( \cos \phi_{CN} \), decreasing the amount of electron-transfer character of the transitions. The HOMO and LUMO of \( 2 \) are similar in character to those of \( 1 \), and the \( \epsilon_{max} \) for the first absorption band of \( 2 \) is 1.60 times that of \( 1 \), slightly less than the statistical factor of 2 for the number of hydrazine units.

From the molecular orbital analysis, \( 2 \) might be expected to be a good case for using the ESMV model indicated in Figure 5. In the model, the ground state is a harmonic surface with its minimum at zero along the asymmetric vibrational coordinate, and the upper diabatic states are two harmonic surfaces with minima at \( +\Delta \) and \( -\Delta \) on the asymmetric vibrational coordinates. An electronic coupling between the diabatic excited states, \( H_{\text{eff}} \), will produce two adiabatic surfaces with a splitting of \( 2H_{\text{eff}} \) at zero on the asymmetric configurational coordinate. The structure of the molecule determines that the transition dipole moment of ground state to upper diabatic transition dipole moments are nearly opposite in the direction. From previously studied cases, \( 15,24-30 \) one transition should be strongly forbidden, and only one main peak is expected in the absorption spectra and Raman excitation profile. This proved to be the case for the absorption spectrum but not for the Raman excitation profile (see Figure 3). The Raman excitation profile has a distinct dip between the main peak and branch peak at about \( 20 \times 100 \) cm\(^{-1} \) for each mode. This unexpected dip found in the resonance Raman excitation profile suggested that the two-state ESMV model does not suffice for this molecule.

As we have pointed out in discussing the neighboring orbital model, \( 25,28,31 \) a minimum of four states are actually involved in a model that could produce reasonable electronic couplings, because the equal energy diabatic surfaces must enter into interactions with the bridge MOs in symmetric and antisymmetric combinations, which can only interact with symmetric and antisymmetric bridge MOs respectively. This leads to a pair of nested two-state models that share a common energy for the diabatic charge-bearing unit combination orbitals, as illustrated in the neighboring orbital diagram for \( 2 \) shown in Figure 6. It shows B3LYP/6-31G* MO pictures for the adiabatic orbitals of \( 2 \) in the center, and diabatic orbitals represented by MO pictures of pairs of hydrazines with the aryl group replaced by H on the left, and of anthracene, the bridge with the hydrazines replaced by H on the right. It will be noted that the highest energy adiabatic neighboring orbital \( (E_2, \text{which is the 2 LUMO}) \) is heavily anthracene-centered because of the large energy gap between the diabatic hydrazine combination orbitals and the upper diabatic bridge orbital, so that its two-state partner \( E_3 \) (which is the \( 2 \) (HOMO-1)) is very heavily hydrazine-centered. The antisymmetric hydrazine combination orbital mixes with the anthracene HOMO, producing the second pair, \( E_1 \) (the \( 2 \) HOMO) and \( E_1 \) (the \( 2 \) (HOMO-2)).

3. Origin of the Resonance De-Enhancement Dip. Resonance Raman de-enhancement has been recognized and analyzed
quantitatively for several organometallic molecules in which a weakly allowed absorption band overlaps an allowed band. In the excitation profile, a loss of intensity is observed in the region of the forbidden band. Its origin lies in the fact that resonance Raman profile for two or more states is given by the square of the sum of the polarizabilities as shown in eq 7 for two states

\[
I_{\text{2\rightarrow 1}} \propto \alpha_1 \alpha_2 \beta_1^2 \sum_{r=1}^{2} \alpha_r^2
\]

where \( \hbar \omega_{1i} \) is the incident radiation energy, \( \hbar \omega_{si} \) is the scattered radiation energy, and \( \alpha_r \) is the resonance Raman scattering polarizability of \( r \)th adiabatic surfaces. When the real and imaginary parts of \( \alpha_r \) from one state have opposite signs from that of another, cancellation can occur leading to loss of intensity in the wavelength region where the bands overlap. The absorption spectrum is the sum of the cross sections from the individual states and thus contains a weak increase in the region of the weakly allowed transition.

The de-enhancement in the experimentally determined profile shown in Figure 3 and the absorption spectrum (which does not contain unusual features at the wavelength of the dip in the rR profile) could not be modeled using only the intense dipole allowed and weak vibronically allowed ESMV bands. Displacements and transition dipole moments that gave rise to the prominent dip in the Raman excitation profile were accompanied by a new peak in the absorption spectrum. The model that was used successfully to calculate the profiles and absorption spectra includes all three of the excited states arising from transitions between the orbitals shown in Figure 6.

The simplest application of the model involves one vibrational coordinate, chosen to be the most highly displaced mode, the 390 cm\(^{-1}\) in-plane anthracene deformation. The potential energy matrix in the diabatic basis is

\[
\begin{pmatrix}
4\pi^2 \epsilon M (6.02 \times 10^{23} h) c^2 & 1500 & 200 \\
1500 & 4\pi^2 \epsilon M (6.02 \times 10^{23} h) c^2 + 16500 & 200 \\
200 & 200 & 4\pi^2 \epsilon M (6.02 \times 10^{23} h) c^2 + 21050 \\
\end{pmatrix}
\]

where \( \epsilon = \frac{1}{2} \) is Planck’s constant, \( c \) is the speed of light, \( M = 16 \) is the reduced mass, \( h \) is Planck’s constant, \( E_j \) is the energy of the potential minimum for \( j \)th state, \( \omega = 390 \) cm\(^{-1}\), \( \Delta Q_1 = \Delta Q_2 = 0.3 \) Å, and \( \Delta Q_2 = 0.001 \) Å. The coupling between the diabatic states shown in Figure 5 is 1500 cm\(^{-1}\) and the coupling to the upper state is 200 cm\(^{-1}\). After diagonalizing this matrix, the two lowest-energy surfaces that produce the overlapping absorption bands were used to calculate the de-enhancement. In the model that best fits the excitation profile, the transition dipole moment from the ground state to the upper adiabatic surface was 40% as large as that from the ground state to the lowest adiabatic surface. The calculated excitation Raman profile and the calculated absorption spectrum are shown in Figure 7.

The calculated spectra reproduce the sharp dip in the resonance Raman excitation profile and the single broad peak in the absorption spectrum. The parameters used to fit the spectrum are probably not unique, but they show that experimental vibrational data and reasonable values of the displacements can explain the origin of the dip in the rR profiles and its absence in absorption spectrum.

To explain the origin of the dip, the real and imaginary components of the resonance Raman scattering polarizability \( \alpha \), for those two excited electronic states are shown in Figure 8. The cancellation of the imaginary part at 21 000 cm\(^{-1}\) and the cancellation of the real part at 20 000 cm\(^{-1}\) causes the de-enhancement of the two excited states. Another uncommon result was that the shape of real and imaginary parts of resonance Raman scattering polarizability \( \alpha \) of upper electronic state are narrower in the three-state than in the two-state model. Since absorption intensity is proportional to absorption cross section while Raman intensity is proportional to the square of Raman scattering polarizability, it is conceivable that a narrower shape would lead to a narrower and more intense branch peak on the resonance Raman excitation profile. Therefore, the resonance excitation profile will have the dip effect while the absorption spectra are not sensitive enough to show the dip.

To extend the model, profiles for two modes, the 390 cm\(^{-1}\) mode discussed above and a high-frequency mode, the 1398 cm\(^{-1}\) anthracene carbon–hydrazine nitrogen stretch, were
calculated. In the two dimension calculation, coordinates $Q$ and $R$ represent the low and high-frequency modes, respectively. The potential energy matrix is

$$
\begin{pmatrix}
\frac{1}{2} k_Q (Q - \Delta Q_1)^2 + 1700 & 100 \\
\frac{1}{2} k_R (R - \Delta R_1)^2 + 17070 & 1700 \\
100 & \frac{1}{2} k_Q (Q - \Delta Q_2)^2 + 100 \\
100 & \frac{1}{2} k_R (R - \Delta R_2)^2 + 1700 \\
\end{pmatrix}
$$

where $\omega = 1398 \text{ cm}^{-1}$ is used in calculating $k_R$. $\Delta Q_1 = \Delta Q_2 = 0.27 \text{ Å}$, $\Delta Q_3 = 0.001 \text{ Å}$, $\Delta R_1 = \Delta R_2 = 0.03 \text{ Å}$, and $\Delta R_3 = 0.05 \text{ Å}$. The excitation profiles were calculated using the two overlapping states as discussed above. The calculated profiles, which are shown in Figure 9, are in reasonable agreement with the experiment and reproduce reasonably well the positions of the peaks and the dip.

Summary

The lowest excited states of 1 and 2 arise from hydrazine-to-anthracene charge transfer. Molecular orbital calculations show that the homo has a predominantly hydrazine $\pi^*$ character and that the lumo is almost entirely anthracene $\pi^*$ character. The excited-state of the di-substituted compound 2 is mixed valence with antiparallel transition dipole moments resulting in dipole-allowed and vibronic allowed components separated in energy by twice the coupling. The two components overlap resulting in a broad, featureless absorption band. However, resonance Raman excitation profiles taken in resonance with the charge transfer absorption band revealed the presence of the weak component by a strong resonance de-enhancement.

The excitation profiles were used to obtain detailed information about the bond length and angle changes that occur as a result of the charge transfer. The largest distortions occur on the anthracene unit resulting from populating the three-node $\pi^*$ orbital. In addition, large distortions on the hydrazines are found; oxidizing them removes an NN $\pi^*$ electron that shortens the NN bond length, flattens the nitrogens, and changes the electronic preference for twist at the NN bond from nearly 90° to 0°. The magnitudes of the normal coordinate changes are calculated using the time-dependent theory of resonance Raman spectroscopy.

The resonance de-enhancement observed in the rR excitation profile results from the fact that the resonance Raman profile for two or more states is given by the square of the sum of the polarizabilities for two states. When the real and imaginary parts of $R_r$ from one state have opposite signs from that of another, cancellation can occur leading to loss of intensity in the wavelength region where the bands overlap. The absorption spectrum is the sum of the cross sections from the individual states and thus contains a weak increase in the region of the weakly allowed transition that is hidden by the breadth of the absorption bands. The de-enhancement was calculated using a model based on the states arising from the neighboring orbital model. Its presence provides more detail about the mixed valence excited state (including the magnitude and sign of the effective coupling) than is present in the broad, featureless ESMV absorption band.

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References and Notes
